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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

55320.000104

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. 10/514625  
To Be Assigned

INTERNATIONAL APPLICATION NO.  
PCT/DK03/00331

INTERNATIONAL FILING DATE  
19 May 2003

PRIORITY DATE CLAIMED  
17 May 2002; 18 July 2002

TITLE OF INVENTION

**AMINO-FUNCTIONAL CHALCONES**

APPLICANT(S) FOR DO/EO/US

**Simon Feldbæk NIELSEN et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Copy of Form PCT/IB/308

Copy of WO 03/097575 A2

Copies of Forms PCT/IPEA 416 and PCT/IPEA 409



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**PATENT**

☐ PROVISIONAL  
☒ UTILITY

☐ DESIGN  
☐ PCT

Application No.: TBA  
Client/Matter No.: 55320.000103

Date: NOVEMBER 17, 2004  
Client: LICA PHARMACEUTICALS A/S

Inventor(s): SIMON FELDBÆK NIELSEN ET AL.

Atty/Sec.: SA/abs

Title: AMINOCALKOXY-FUNCTIONAL CHALCONES

The following has been received in the U.S. Patent and Trademark  
Office on the date stamped hereon:

- ☒ TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 (IN DUPLICATE)
- ☒ PRELIMINARY AMENDMENT
- ☒ UNEXECUTED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION
- ☒ COPY OF FORM PCT/IB/308
- ☒ COPY OF WO 03/097574 A2
- ☒ COPY OF FORM PCT/IPEA/409
- ☒ Copy of Form PCT/IPEA/416
- ☒ Check No. 2062927 for \$920.00

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